Receipt date: \$28/29/2006

10598101 - GAU 3775W

PTO/SB/21 (09-04) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ne Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/598.101 TRANSMITTAL Filing Date August 17, 2006 First Named Inventor **FORM** PAGANON, Pascal Art Unit TBA **Examiner Name** TBA (to be used for ell correspondence efter initiel filing) Attomey Docket Number 148821.00007 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Change of Correspondence Address Status Letter Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): PTO/SB/08A (1 page) Request for Refund Express Abandonment Request International Search Report (4 pages) Cited References (83 pages) CD, Number of CD(s) Information Disclosure Statement Postcard Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Powell Goldstein LLP Signature Printed name dason A. Bernstein Date Reg. No. 31,236 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed epplication form to the USPTO. Time will vary depending upon the individual case. Any comments on the emount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent end Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

/Christina Lauer/ (05/16/2011)

LuAnn K. Miller

Typed or printed name

8.24.2006

Date

Receipt date 68/29/2006

**Patents** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PAGANON et al. | Confirmation No.: 1036

Appln. No.: 10/598,101 Group Art Unit: TBA

Filed: August 17, 2006 Examiner: TBA

Title: ATRAUMATIC SURGICAL BAND | Atty. Docket No. 148821.00007

Customer ID 25207

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citation of information on the attached Form PTO/SB/08A and/or PTO/SB/08B Information Disclosure Statement by Applicant(s), is made pursuant to 37 CFR 1.56 and 1.97.

- Pursuant to 37 C.F.R. 1.97(b), this Information Disclosure Statement is being filed:
  - □ Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d).
  - Within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application.
  - ☐ Before the mailing of a first Office action on the merits.
  - Before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114.
- Pursuant to 37 CFR 1.97(c), this Information Disclosure Statement is being filed after the period specified in 1.97(b), but before the mailing date of a final action under 37 CFR 1.113, a notice of allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application.
  - ☐ A statement under 37 CFR 1.97(e) is below, or
  - ☐ Applicant(s) enclose the fee set forth in 37 CFR. 1.17(p)

/Christina Lauer/ (05/16/2011)

Receipt date: 08/29/2006 10598101 - GAU: 3773

Pursua	Pursuant to 37 CFR 1.97(d), Applicant(s) make the following certification:			
	Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.			
	No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.			
and				
	Applicant(s) enclose the fee set forth in 37 CFR 1.17(p).			
before	ant to 37 CFR 1.97(e), this Information Disclosure Statement is being filed on or payment of the issue fee and is accompanied by the following statement pursuant CFR 1.97(e):			
	Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.			
	No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.			

Receipt date: 08/29/2006 10598101 - GAU: 3773

□ Pursuant to M.P.E.P. Section 609C(1), this Information Disclosure Statement is being filed before the payment of an issue fee, but without the certification and fee set forth in 37 CRF 1.97(d). Applicants respectfully request that this Information Disclosure Statement be placed in the file of the instant application. Applicants also note that the references cited in this Information Disclosure Statement were submitted in the parent application to which this application claims priority.

The Director is hereby authorized to charge any fees which may be required, or credit any overpayments to Deposit Account 50-1429. I have enclosed a duplicate of this sheet.

Respectfully submitted, POWELL GOLDSTEIN LLP

Jason A. Bernstein Reg. No. 31,236

POWELL GOLDSTEIN LLP One Atlantic Center, Fourteenth Floor 1201 West Peachtree Street, NW Atlanta, Georgia 30309-3488 (404) 572-6900 (404) 572-6999 (fax) jbernstein@pogolaw.com Recept date; 08/29/2006

Sheet

PTO/SB/08A (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent end Trademerk Office; U.S. DEPARTMENT OF COMMERCE
sperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

estitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/598,101		
Filing Date	August 17, 2006		
First Named Inventor	PAGANON, Pascal		
Art Unit	ТВА		
Examiner Name	ТВА		
Attomey Docket Number	148821 00007		

			U. S. PATENT D	OCUMENTS	-
Examiner Initials*	Cite No.1	Document Number	Publication Dete MM-DD-YYYY	Name of Petentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Pessages or Relevant
	<u> </u>	Number-Kind Code <sup>2 (f known)</sup>			Figures Appear
		US-			
		US-		<del></del>	
		US-		<del>-</del>	
	<u> </u>	US-			
	1	US-			
_		US-			
	1	US-		<del></del>	
		ŪS-			
-	<u> </u>	US-		<del></del>	
		US-		<del></del>	
		US-			
		US-			
	<del> </del> -	US-			
	<u> </u>	US-			
		US-		- · · - ·	
		US-			
	1	US-			
		US-			
	<del>                                     </del>	US-			

Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> " Kind Code <sup>5</sup> (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
			MM-DD-YYYY			
	Α	EP1342458 (English Abstr)	09-10-2003	Cousin Biotech		
	В	FR2834631 (English Abstr)	07-18-2003	CIE Europ d Etude		
	С	WO 03/059215	07-24-2003	Compagnie Europeenne		L
						上
				<u> </u>		L

Examiner Signature	/Christina Lauer/ (05/16/2011)	Date Considered	
	, , , , , , , , , , , , , , , , , , , ,		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.